IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rajeev A. JAIN et al.

Title: CONTROLLED RELEASE

NANOPARTICULATE COMPOSITIONS

Appl. No.: 09/337,675

Filing Date: 6/22/1999

Examiner: Susan T. Tran

Art Unit: 1615

Conf. No.: 9275

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR \$1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR \$1.97 and \$1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

Attv. Dkt. No. 029318-0497

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the first official action after the filing of a Request for Continued Examination (RCE).

RELEVANCE OF EACH DOCUMENT

Attached to the PTO/SB/08 is a copy of a Decision on Rejections cited in related Japanese Patent Application No. 2001-583733, dated June 9, 2010. The U.S. equivalent to reference no. 1, i.e., US 5,145,684, was already cited in an Information Disclosure Statement in the present application. A partial English Translation of the relevant pages of Reference No. 2 is attached

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP 8609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted.

Date: July 19, 2010

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